

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
(703) 746-4000**

or **Fax**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

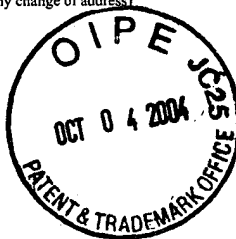
08/25/2004

STEVEN B. KELBER, ESQ.
PIPER RUDNICK LLP
1200 19TH STREET, N.W.
WASHINGTON, DC 20036

10/05/2004 AKELECH2 00000072 09576858

01 FC:2501
02 FC:1504

685.00 OP
300.00 OP



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

| |
|--------------------|
| (Depositor's name) |
| (Signature) |
| (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/576,858 | 05/22/2000 | Richard O. Snyder | 40447 | 2597 |

TITLE OF INVENTION: ADENO-ASSOCIATED VIRAL VECTOR-MEDIATED DELIVERY OF DNA TO CELLS OF THE LIVER

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES | \$685 | \$300 | \$985 | 11/26/2004 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|--------------------|----------|----------------|
| PIEBE, SCOTT DAVID | 1632 | 424-093200 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Piper Rudnick LLP
2 Steven B. Kelber
3 Linda R. Judge

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

- 1) The University of Washington
2) Cell Genesys, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

- 1) Seattle, Washington
2) Foster City, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-1442 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date

Typed or printed name Steven B. KelberRegistration No. 30,073

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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1200 NINETEENTH STREET, NW
WASHINGTON, DC 20036-2412
TELEPHONE: 202-861-3900
FACSIMILE: 202-223-2085

DOCKET NO.: 3802-015-27 CONT

ASSISTANT COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313-1450

Re: Serial No.: 09/576,858
Applicant(s): Richard O. SNYDER, et al.
Filing Date: May 22, 2000
For: ADENO-ASSOCIATED VIRAL VECTOR-MEDIATED DELIVERY
OF DNA TO CELLS OF THE LIVER
Group Art Unit: 1632
Examiner: Scott David Priebe

SIR:

Attached hereto for filing are the following papers:

Fee Transmittal
Issue and Publication Fees Transmittal
Form PTO-1595
Assignment (3 pages, executed)

Our check in the amount of \$ 1,025.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER RUDNICK LLP

Steven B. Kelber
Attorney of Record
Registration No.: 30,073



FEE TRANSMITTAL

| | |
|----------------|---------------------------|
| Docket No. | 3802-015-27 CONT |
| Serial No. | 09/576,858 |
| Filing Date | May 22, 2000 |
| Inventor(s) | Richard O. SNYDER, et al. |
| Group Art Unit | 1632 |
| Examiner | Scott David Priebe |

TOTAL AMOUNT OF PAYMENT **\$1,025.00**

1. ☒ Applicant claims small entity status.
- ☒ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|-----------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |

FEE CALCULATION

1. BASIC FILING FEE

| | | | | | |
|------|------|------|------|--|--|
| 1051 | 130 | 2051 | 65 | Surcharge-late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge-late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English Specification | |
| 1251 | 110 | 2251 | 55 | 1-mo. ext. of time | |
| 1252 | 430 | 2252 | 215 | 2-mo. ext. of time | |
| 1253 | 980 | 2253 | 490 | 3-mo. ext. of time | |
| 1254 | 1530 | 2254 | 765 | 4-mo. ext. of time | |
| 1255 | 2080 | 2255 | 1040 | 5-mo. ext. of time | |
| 1401 | 340 | 2401 | 170 | Notice of Appeal | |
| 1402 | 340 | 2402 | 170 | Appeal Brief | |

2. EXTRA CLAIM FEES

| | | | | | |
|------|------|------|-----|---------------------------|--------|
| 1403 | 300 | 2403 | 150 | Request for Oral Hearing | |
| 1501 | 1370 | 2501 | 685 | Utility/Reissue Issue Fee | 685.00 |
| 1502 | 490 | 2502 | 245 | Design Issue Fee | |
| 1504 | 300 | 1504 | 300 | Publication Fee | 300.00 |

| Large Entity | | Small Entity | | Fee Description | |
|--------------|----------|--------------|----------|--|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | |
| 1201 | 88 | 2201 | 44 | Independent claims in excess of 3 | |
| 1203 | 300 | 2203 | 150 | Multiple dependent claim, if not paid | |
| 1204 | 88 | 2204 | 44 | *Reissue independent claims over original patent | |
| 1205 | 18 | 2205 | 9 | *Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) **\$0.00**

* or number previously paid, if greater; For Reissues, see above

SUBTOTAL (3) **\$1,025.00**

| | | | |
|-----------|------------------|------------------|-----------------|
| Name | Steven B. Kelber | Registration No. | 30,073 |
| Signature | | Date | October 4, 2004 |
| Name | | Registration No. | |
| | | Telephone | 202-861-3900 |